

COVID-19 mRNA Vaccines Induce Robust Levels of IgG but Limited Amounts of IgA Within the Oronasopharynx of Young Children

Ying Tang,1,2,a[,](https://orcid.org/0000-0003-4357-2343) Brittany P. Boribong,2,3,4,a, Zoe N. Swank,2,5,6, Melina Demokritou,3, Maria A. F. Luban,3 Alessio Fasano,2,3,4[,](https://orcid.org/0000-0002-2134-0261) Michelle Du[,](https://orcid.org/0000-0002-5524-7348)^{7.®} Rebecca L. Wolf,⁷ Joseph Griffiths,⁷ John Shultz,⁷ Ella Borberg,^{2,5,6,®} Sujata Chalise,^{2,5,6,®} Wanda I. Gonzalez,^{2,4,®} David R. Walt,^{2,5,6,®} Lael M. Yonker,^{2,3,4,b,®} and Bruce H. Horwitz^{1,2,7,b,®}

^{[1](#page-0-0)}Division of Gastroenterology, Hepatology, and Nutrition, Boston Children's Hospital, Boston, Massachusetts, USA; ^{[2](#page-0-0)}Harvard Medical School, Boston, Massachusetts, USA; ^{[3](#page-0-0)}Mucosal Immunology and Biology Research Center, Massachusetts General Hospital, Boston, Massachusetts, USA; ^{[4](#page-0-0)}Department of Pediatrics, Massachusetts General Hospital, Boston, Massachusetts, USA;
^{[5](#page-0-1)}Department of Pathology Brigham and Women Department of Pathology, Brigham and Women's Hospital, Boston, Massachusetts, USA; ⁶Wyss Institute for Biologically Inspired Engineering, Harvard University, Boston, Massachusetts, USA; and ^{[7](#page-0-0)}Division of Emergency Medicine, Boston Children's Hospital, Boston, Massachusetts, USA

Background. Understanding antibody responses to SARS-CoV-2 vaccination is crucial for refining COVID-19 immunization strategies. Generation of mucosal immune responses, including mucosal IgA, could be of potential benefit to vaccine efficacy; however, limited evidence exists regarding the production of mucosal antibodies following the administration of current mRNA vaccines to young children.

Methods. We measured the levels of antibodies against SARS-CoV-2 from a cohort of children under 5 years of age $(n = 24)$ undergoing SARS-CoV-2 mRNA vaccination (serially collected, matched serum and saliva samples) or in a convenience sample of children under 5 years of age presenting to pediatric emergency department (nasal swabs, $n = 103$). Furthermore, we assessed salivary and nasal samples for the ability to induce SARS-CoV-2 spike-mediated neutrophil extracellular traps (NET) formation.

Results. Longitudinal analysis of post-vaccine responses in saliva revealed the induction of SARS-CoV-2–specific IgG but not IgA. Similarly, SARS-CoV-2–specific IgA was only observed in nasal samples obtained from previously infected children with or without vaccination, but not in vaccinated children without a history of infection. In addition, oronasopharyngeal samples obtained from children with prior infection were able to trigger enhanced spike-mediated NET formation, and IgA played a key role in driving this process.

Conclusions. Despite the induction of specific IgG in the oronasal mucosa, current intramuscular vaccines have limited ability to generate mucosal IgA in young children. These results confirm the independence of mucosal IgA responses from systemic humoral responses following mRNA vaccination and suggest potential future vaccination strategies for enhancing mucosal protection in this young age group.

Keywords. COVID-19; SARS-CoV-2 mRNA vaccination; mucosal IgA; neutrophil extracellular traps (NETs); children.

While there is clear evidence that current COVID-19 mRNA vaccines induce robust and protective systemic immune responses, the ability of these vaccines to induce mucosal responses is less understood. Mucosal immune responses may

The Journal of Infectious Diseases® 2024;230:1390–9

<https://doi.org/10.1093/infdis/jiae450>

provide additive benefits potentially important for limiting transmission and increasing effectiveness against severe disease [\[1\]](#page-8-0). It has been demonstrated in animal models that targeted nasal immunization, but not intramuscular immunization, with ChAd-SARS-CoV-2 induces robust mucosal anti-IgA responses with near sterilizing immunity, suggesting a role for mucosal IgA responses in preventing severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection and transmission [[2](#page-8-0)]. Moreover, nasal SARS-CoV-2–specific antibody responses have been associated with lower viral loads and milder systemic symptoms of coronavirus disease 2019 (COVID-19) [[3](#page-8-0)]. Studies on adults revealed that prior infection induces significantly higher mucosal coronavirus disease 2019 A (IgA) than mRNA vaccination $[4-6]$, underscoring the limited impact of intramuscular vaccination on the induction of mucosal SARS-CoV-2–specific IgA in adults [[7](#page-8-0)]. Young children have developing immune systems with significantly reduced capacity to generate circulating anti–SARS-CoV-2 IgA

Received 02 May 2024; editorial decision 05 September 2024; accepted 06 September 2024; published online 10 September 2024

Presented in part: Federation of Clinical Immunology Societies Meeting, 18–21 June 2024, San Francisco, CA, abstract number 1726760.

^aY. T. and B. P. B. contributed equally.

^bL. M. Y. and B. H. H. contributed equally.

Correspondence: Lael M. Yonker, MD, Department of Pediatrics, Massachusetts General Hospital, 1455 Fruit Street, Boston, MA 02114 (lyonker@mgh.harvard.edu); Bruce H. Horwitz, MD, PhD, Division of Emergency Medicine, Boston Children's Hospital, 300 Longwood Avenue, BCH 3066, Boston, MA 02115 ([bruce.horwitz@childrens.harvard.edu\)](mailto:bruce.horwitz@childrens.harvard.edu).

[©] The Author(s) 2024. Published by Oxford University Press on behalf of Infectious Diseases Society of America. All rights reserved. For commercial re-use, please contact reprints@ oup.com for reprints and translation rights for reprints. All other permissions can be obtained through our RightsLink service via the Permissions link on the article page on our site—for further information please contact journals.permissions@oup.com.

following vaccination as compared to adults $[8]$ $[8]$ $[8]$. However, studies examining mucosal IgA responses in children following SARS-CoV-2 mRNA vaccinations are limited.

Here, we longitudinally evaluated both serological and salivary antibody responses in a cohort of children under 5 years of age with and without a prior history of SARS-CoV-2 infection following primary mRNA vaccination. We also compared antibody levels in nasal samples obtained from children with a history of COVID-19, those with a prior history of vaccination, those with both infection and vaccination, or those with neither. Additionally, we explored the ability of spike-specific mucosal antibodies to induce neutrophil activation. Our results reveal that while mRNA vaccination can generate robust systemic and mucosal IgG production, vaccination alone has limited ability to induce oronasopharyngeal IgA, nor does it boost mucosal IgA levels induced by prior SARS-CoV-2 infection. Furthermore, our data also suggest that IgA produced in response to prior SARS-CoV-2 infection is a key driver of anti– SARS-CoV-2 antibody-induced neutrophilic activation.

METHODS

Study Design

Longitudinal Cohort

Children aged 5 years or younger undergoing a COVID-19 mRNA vaccination series were enrolled at Massachusetts General Hospital under institutional review board number 2020P0000955. Informed consent was obtained from parents/legal guardians. Demographic information was obtained from electronic medical records, and SARS-CoV-2 infection history was based on parental report. Samples from individuals who were infected during the vaccine series based on parental report were excluded from this analysis. All subjects received either Pfizer (BNT162b2) or Moderna (mRNA-173) for primary vaccine doses. Samples were collected before vaccination (V0) and 2–4 weeks following the first, the second, and (in those receiving the Pfizer vaccine) the third vaccine doses (V1, V2, V3, respectively). Saliva was collected by holding a SalivaBio swab (Salimetrics) under the tongue for 2 minutes or until fully saturated. The saturated swab was then placed in the upper chamber of the Swab Storage Tube (Salimetrics) and centrifuged at 450*g* at 4°C for 15 minutes. Saliva was collected, aliquoted, and stored at −80°C until use. Blood was collected via venipuncture into serum separation tubes (BD) or by a microneedle capillary blood collection device. Serum was collected, aliquoted, and stored at −80°C until use. Samples were collected between June 2022 and January 2023.

Emergency Department Convenience Cohort

Children under 5 years old presenting to the Emergency Department at Boston Children's Hospital (BCH) were enrolled under institutional review board number P00028229. Written informed consent was acquired from parents/legal guardians.

Participants with a current positive SARS-CoV-2 polymerase chain reaction (PCR) test were excluded from this study, and their vaccination status, prior infection status as well as demographic information were obtained from a parental questionnaire. Following completion of clinically indicated viral testing employing a nasopharyngeal swab, discarded viral transport medium (VTM) was retrieved and stored at −80°C until use. Samples were collected between February 2021 and November 2023.

Simoa Anti–SARS-CoV-2 Antibody Measurements

Serum samples were diluted 4000-fold in Sample Diluent (Quanterix Corporation). Saliva samples were diluted 64-fold in StartingBlock T20 blocking buffer (Thermo Fisher Scientific) containing protease inhibitors Halt Protease Inhibitor Cocktail (Thermo Fisher Scientific). Single molecule array (Simoa) assays were then used to measure anti-S1, anti-RBD, anti-spike, and anti-nucleocapsid antibodies, as previously described [\[9\]](#page-8-0). Briefly, using an HD-X Analyzer (Quanterix Corporation), the diluted samples were incubated with dye-encoded magnetic beads coated with recombinant proteins. The beads were washed and resuspended in a solution of biotinylated anti-human-IgG or anti-human-IgA antibody. The beads were then washed again and resuspended in a solution of streptavidin-conjugated β-galactosidase. Lastly, the beads were resuspended in a solution of resorufin β-D-galactopyranoside and loaded into a microwell array for imaging. Average enzymes per bead values were calculated by the HD-X software and normalized between runs using a COVID-19–positive serum standard. All samples were run in duplicate and mean concentration values were reported.

Nasal Antibody Detection

VTM samples were thawed and centrifuged at 3000*g* for 5 minutes. SARS-CoV-2 anti-S1, -S2, -RBD and -nucleocapsid IgG and IgA levels were determined using MILLIPLEX SARS-CoV-2 Antigen Panel 1 IgG assay (catalog No. HC19SERG1-85K; Millipore Sigma) and MILLIPLEX SARS-CoV-2 Antigen Panel 1 IgA assay (catalog No. HC19SERA1- 85K; Millipore Sigma), respectively. The protocol was followed as described by the manufacturer, except 50 µL/well of undiluted VTM samples were used as the starting material, and an additional fixation step with 4% paraformaldehyde was included following the final wash. Samples were analyzed using the Luminex 200 system. All samples were measured in duplicate, and control beads were used for normalization.

NETosis Assay

The NETosis assay was performed as previously described [\[10\]](#page-9-0). Briefly, microfluidic devices were primed with Roswell Park Memorial Institute (RPMI) media with no fetal bovine serum. Neutrophils were isolated from healthy donors using the Easysep Direct Neutrophil Isolation Kit (STEMCELL Technologies). Isolated neutrophils were stained with 32 µM Hoeschst 3342 dye

Table 1. Characteristics of Participants in Longitudinal Cohort

Data are No. (%) except where indicated.

Abbreviations: IQR, interquartile range; V, vaccine dose.

and mixed with SYTOX green (final concentration 2 μ M). Stained neutrophils were stimulated with either pooled saliva samples or individual samples of VTM in the presence or absence of spikecoated NeutrAvidin beads. The cell suspensions were then loaded into a microfluidic device and imaged with brightfield, fluorescein isothiocyanate (FITC), and 4′,6-diamidino-2-phenylindole (DAPI) fields every 10 minutes for 6 hours. NETosis was then quantified using FIJI and the TrackMate plugin.

Salivary IgG and IgA Depletion

IgG and IgA were depleted from saliva samples as previously described [[10](#page-9-0)]. Briefly, IgG was depleted using Protein A/G Agarose (Fisher Scientific), and IgA was depleted using CaptureSelect IgA Affinity Matrix (Thermo Fisher Scientific). Pierce Centrifuge Columns were packed with the selected affinity matrix and washed with $1\times$ phosphate-buffered saline 3 times. Undiluted saliva samples were then added to the columns and rocked overnight at 4°C. Columns were centrifuged the following day to collect the depleted saliva samples. Nondepleted saliva samples were treated identically but without the addition of the affinity matrix to the columns.

Statistical Analysis

Two-tailed Mann-Whitney *U* tests were conducted to identify significant differences between groups in GraphPad Prism version 10.1. Statistical significance is defined as $*P < .05$, ***P* < .01, ****P* < .001, and *****P* < .0001.

RESULTS

Limited Induction of Spike-Specific Salivary IgA Following mRNA Vaccination of Young Children.

To quantify mucosal and serologic antibody responses generated by COVID-19 mRNA vaccination, we evaluated saliva and blood samples collected from healthy children with and without prior history of COVID-19 based on their medical records (demographics are shown in Table 1). Matched serum and saliva samples were collected longitudinally prior to vaccination and 4 weeks following each vaccine dose ([Figure 1](#page-3-0)*A*). Participants were divided into 2 groups: "vaccine-only" (no prior infection) and "vaccine/infection" (with prior SARS-CoV-2 infection). Consistent with the prior history, serum antinucleocapsid IgG levels were significantly higher in the vaccine/ infection group than in the vaccine-only group [\(Figure 1](#page-3-0)*B*). As expected, prior to vaccination (baseline, V0), we found significantly higher levels of anti-spike IgG and IgA in the serum of participants in the vaccine/infection group than in the vaccine-only group [\(Figure 1](#page-3-0)*C*). Anti-spike IgG and IgA were significantly higher in serum collected following the completion of vaccination (V2 or V3) than prior to vaccination in both groups, although levels of both IgG and IgA remained higher in the vaccine/infection group than in the vaccine-only group throughout the time course [\(Figure 1](#page-3-0)*C*). Similar patterns were observed for both anti-S1 and anti-RBD responses in serum samples ([Supplementary Figure 1\)](http://academic.oup.com/jid/article-lookup/doi/10.1093/infdis/jiae450#supplementary-data).

Similar to responses in the serum, prior to vaccination, salivary anti-spike IgG was significantly higher in the

Figure 1. Limited induction of spike-specific salivary IgA following mRNA vaccination of young children. *A*, Schematic overview of study design and sample collection timeline. *B*, Serum anti-nucleocapsid IgG level indicates prior SARS-CoV-2 infection status. Anti-nucleocapsid IgG are shown for groups with and without a prior history of COVID-19. *C* and *D*, Serum (*C*) and saliva (*D*) anti-spike IgG (left) and IgA (right) levels are shown. Differences between groups are shown as black asterisks. Differences between time points within groups are shown as blue or orange asterisks. Error bar represents the mean value and the standard deviation. Two-tailed Mann-Whitney *U* tests were performed between individual groups, and statistical significance is defined as **P* < .05, ***P* < .01, and *****P* < .0001. Abbreviations: AEB, average enzymes per bead; COVID-19, coronavirus disease 2019; Ig, immunoglobulin; ns, not significant; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2; V, vaccine dose.

vaccine/infection group than in the vaccine-only group, and was significantly higher following completion of vaccination than prior to vaccination in both groups (Figure 1*D*, left). Likewise, salivary levels of anti-spike IgG remained significantly higher in the vaccine/infection group than in the vaccine-only group throughout the time course, and similar patterns were observed for salivary anti-S1 and anti-RBD IgG [\(Supplementary Figure 2](http://academic.oup.com/jid/article-lookup/doi/10.1093/infdis/jiae450#supplementary-data)*A*). While levels of anti-spike IgA in the saliva at baseline were also significantly higher in the vaccine/infection group than in the vaccine-only group, we were unable to detect a significant increase in levels of anti-spike IgA in either group following vaccination (Figure 1*D*, right). Small but statistically significant increases in the levels of

anti-S1 IgA but not in anti-RBD IgA were observed in the vaccine-only group following vaccination [\(Supplementary](http://academic.oup.com/jid/article-lookup/doi/10.1093/infdis/jiae450#supplementary-data) [Figure 2](http://academic.oup.com/jid/article-lookup/doi/10.1093/infdis/jiae450#supplementary-data)*B*). Taken together, these observations suggest that the ability of COVID-19 mRNA vaccination to induce salivary IgA is quite limited.

mRNA Vaccination Has Limited Influence on the Levels of Anti-Spike IgA in the Nasal Mucosa of Young Children

To further evaluate nasopharyngeal antibody levels following mRNA vaccination and/or SARS-CoV-2 infection, we collected VTM samples used for testing of material collected on nasopharyngeal swabs obtained from a convenience cohort of children under 5 years of age presenting to a pediatric emergency

Figure 2. mRNA vaccination has limited influence on the levels of anti-spike IgA in the nasal mucosa of young children. A, Schematic overview of study design and experimental procedures. *B* and *C*, Nasal anti-S1, -S2, -RBD, and -nucleocapsid IgG (*B*) and IgA (*C*) levels were plotted, and comparisons among 4 groups were conducted. Error bar represents the mean value and the standard deviation. Two-tailed Mann-Whitney *U* tests were performed, and statistical significance is defined as **P* < .05, ***P* < .01, ****P* < .001, and *****P* < .0001. Abbreviations: Ig, immunoglobulin; MFI, mean fluorescence intensity; RBD, receptor-binding domain; S, spike; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2; VTM, viral transport medium.

department for evaluation of respiratory symptoms (Figure 2*A*; demographics shown in [Table 2\)](#page-5-0). Children who tested positive for acute SARS-CoV-2 infection were excluded from this study. Children were categorized into 4 groups based on parental recall of COVID-19 mRNA vaccination and evidence of prior SARS-CoV-2 infection (presence of anti-nucleocapsid IgG in the VTM): no history of vaccination or evidence of SARS-CoV-2 infection ("negative"), history of vaccination only ("vaccine-only"), evidence for SARS-CoV-2 infection only ("prior infection"), and a history of both ("vaccine/infection"). We found that SARS-CoV-2–specific IgG levels were significantly higher in the vaccine-only, vaccine/infection, and prior infection groups compared to the negative group (Figure 2*B*), suggesting effective induction of SARS-CoV-2–specific IgG within the nasal mucosa by either vaccination or natural infection. Notably, levels of nasal IgG were significantly higher in children who were both vaccinated and had a prior SARS-CoV-2 infection compared to all other groups, indicating that COVID-19 mRNA vaccination

likely boosts nasal IgG levels in participants previously infected with SARS-CoV-2.

In contrast, nasal anti-S1, anti-S2, and anti-RBD IgA levels were significantly higher in the vaccine/infection and prior infection groups than in both the negative and vaccine-only groups, and we were unable to detect a significant difference in anti-S1 or anti-RBD IgA levels between the vaccine-only group and the negative group, nor between the vaccine/ infection group and the prior infection group (Figure 2*C*). We did detect a small but significant increase in anti-S2 IgA levels between the vaccine-only group and the negative group, although we did not observe a significant increase in anti-S2 IgA between the vaccine/infection group and the prior infection group. Similar to results with saliva, these results indicate that despite the ability to induce mucosal IgG, the ability of COVID-19 mRNA vaccination to induce SARS-CoV-2–specific IgA in the nasal mucosa is quite limited.

Table 2. Characteristics of Participants in Emergency Department Convenience Cohort

Data are No. (%) except where indicated.

Abbreviation: IQR, interquartile range.

SARS-CoV-2–Specific Salivary and Nasal Antibodies Trigger Extensive Spike-Mediated Neutrophil Activation

Neutrophils are abundant in the nasal mucosa of healthy children, and exhibit a more activated phenotype than neutrophils in the adult nose following SARS-CoV-2 infection [\[11\]](#page-9-0). However, whether SARS-CoV-2–specific antibodies in the oronasopharynx have the ability to activate neutrophils following antigen exposure is not fully defined and, furthermore, the role of mucosal IgA in this process remains to be determined. To examine whether mucosal antibodies induced by vaccination and/or natural infection have the ability to activate neutrophils and induce the formation of neutrophil extracellular traps (NET), we pooled saliva samples from healthy children with completed vaccine doses in the following groups: negative (no prior infection or vaccination), vaccine-only (vaccinated individuals without history of COVID-19), and vaccine/infection (vaccinated individuals with prior infection) $(n = 4 \text{ sam}$ ples per pool) to obtain sufficient volumes of saliva to evaluate NET formation. We then mixed these pooled saliva samples with spike protein-coated beads to induce immune complex formation and added these mixtures to neutrophils isolated from 4 healthy individuals. We assessed neutrophil activation by quantification of the percentage of neutrophils that underwent NETosis [\(Figure 3](#page-6-0)*A*). None of the sample pools induced NETosis in the absence of spike protein, but we observed significant increases in NETosis following the addition of spike protein to the vaccine-only pool and the vaccine/infection pool, but not from the negative pool [\(Figure 3](#page-6-0)*B*), consistent with the presence of antibodies with the ability to induce anti-spike immune complexes in these pools. Interestingly, the level of NETosis was higher in the vaccine/infection pool than in the vaccine-only pool, likely reflecting the higher levels of salivary anti–SARS-CoV-2 antibodies, although the analysis of a single pool limits our ability to evaluate significance across pools assembled from the different groups. To address this potential limitation, we compared the ability of a subset of nasal samples ($n = 4$ per group) to induce NETosis following exposure to spike protein-coated beads ([Figure 3](#page-6-0)*C*). Antibody levels for each individual sample used in this assay are shown in [Supplementary Figure 3](http://academic.oup.com/jid/article-lookup/doi/10.1093/infdis/jiae450#supplementary-data). The induction of NETosis was significantly higher in vaccine-only, vaccine/infection, and prior infection groups than in the negative group, and we observed significantly higher levels of NETosis in the vaccine/infection group than in all other groups [\(Figure 3](#page-6-0)*D*). Taken together, these results confirm that higher levels of antibodies observed within the oronasal mucosa of vaccinated children with a prior SARS-CoV-2 infection are associated with an enhanced neutrophil activation, likely signifying functional importance.

Spike-Specific IgA in Saliva Acts as a Key Inducer of Neutrophil Activation

To better understand which subclass of antibodies drives the NETosis observed in salivary samples, we depleted either IgG, IgA, or both from pooled saliva samples and evaluated neutrophil activation following the addition of spike protein-coated beads [\(Figure 4](#page-7-0)*A*). We found that depletion of either IgG or

Figure 3. SARS-CoV-2–specific salivary and nasal antibodies trigger extensive spike-mediated neutrophil activation. *A*, Schematic overview of spike-mediated NETosis assay using saliva pools. *B*, Comparison of %NETosis in the presence (white bars) or absence (gray bars) of spike-coated beads in negative, vaccine-only, and vaccine/ infection saliva pools. *C*, Schematic overview of spike-specific NETosis assay using individual nasal VTM samples (n = 4 per group). *D*, Percent NETosis of neutrophils stimulated by spike-coated beads with nasal samples from negative, vaccine-only, vaccine/infection, and prior infection groups. Error bar represents the mean value and the standard deviation. Two-tailed Mann-Whitney *U* tests were performed, and statistical significance is defined as **P* < .05. Abbreviations: ns, not significant; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2; VTM, viral transport medium.

IgA from the vaccine-only or vaccine/infection pools significantly inhibited NETosis and that NETosis was eliminated by depletion of both IgG and IgA [\(Figure 4](#page-7-0)*B* and 4*[C](#page-7-0)*). Thus, both IgG and IgA contribute to the ability of oronasal mucosal antibodies to induce SARS-CoV-2–specific neutrophil activation in children exposed and/or immunized to the virus. One inconsistency we noted is that IgA depletion in vaccine-only saliva pool significantly inhibited the neutrophil NET formation, even though we did not observe significant induction of mucosal IgA by vaccination alone. We believe that a nonspecific ability of IgA in saliva to induce NET formation is unlikely, given that saliva from children without a history of COVID-19 did not induce NET formation prior to vaccination. Rather, we suspect that vaccination alone does result in low levels of mucosal IgA, potentially from passive transport from serum, and that we were unable to detect significant differences in levels of nasal IgA between the unvaccinated and vaccinated groups given our sample size limitations. Future studies with larger sample sizes will be necessary to definitively answer this question.

DISCUSSION

In June 2022, the Food and Drug Administration granted approval for the administration of the COVID-19 mRNA vaccine

to children aged 6 months to 5 years; however, over 95% of children have been exposed to SARS-CoV-2 based on national serological surveillance testing [[12\]](#page-9-0). Thus, it is essential to conduct a thorough evaluation of both systemic and mucosal humoral responses triggered by immunization in children under 5 years of age with and without prior infection.

The robust anti-spike IgG and IgA responses we observed in serum postvaccination aligned with the broader consensus regarding the efficacy of current mRNA vaccine in inducing systemic immunity. In addition, we revealed that vaccination induced mucosal IgG responses in children, although hybrid immunity induced the highest level of SARS-CoV-2–specific IgG. Our observation of close correlation between systemic and mucosal IgG levels is consistent with models in which IgG accumulates in the mucosa as the result of passive trans-port from the circulatory system [[13](#page-9-0)]. In contrast, our study highlighted the limited ability of these vaccines to generate mucosal IgA responses, and confirms that mucosal IgA production in the oronasopharynx can be largely independent of systemic IgA responses [\[14](#page-9-0)]. IgA is recognized as an important factor in mucosal immunity regarding its role in neutralizing pathogens, particularly in the gastrointestinal tract and the upper airways [\[15](#page-9-0)]. Notably, mucosal IgA has been identified as a critical antibody type protecting against SARS-CoV-2 infection [\[16,](#page-9-0) [17\]](#page-9-0)

Figure 4. Depletion of mucosal antibodies interferes with the neutrophil activation induced by saliva pools from individuals in the vaccine-only and vaccine/infection group. *A*, Schematic overview of antibody depletion assay in saliva samples. *B* and *C*, End-point percentage of NETs released from neutrophils stimulated with saliva from the vaccine-only pool (*B*) and the vaccine/infection pool (*C*) following depletion of IgG, IgA, or both IgG and IgA. Black circles represent NETs released from neutrophils stimulated with the negative saliva pool in the presence of spike-coated beads. Error bar represents the mean value and the standard deviation. Two-tailed Mann-Whitney *U* tests were performed, and statistical significance is defined as **P* < .05. Abbreviations: N/A, not applicable; Ig, immunoglobulin; NET, neutrophil extracellular trap.

and correlates with reduced viral infectivity in vitro [[18](#page-9-0)]. Our findings raised questions about the completeness of protection conferred by the current immunization strategies, although the exact function of viral-specific mucosal IgA still requires further investigation.

Another crucial aspect of our study involves the exploration of mucosal antibody-induced neutrophil activation, as demonstrated by the assessment of NETs induced by salivary and nasal samples from infected and/or immunized individuals. Neutrophils have been shown to release NETs as an antimicrobial defense at the mucosa, helping to clear pathogens to prevent more severe infection and disease [[19](#page-9-0), [20](#page-9-0)]. Also, children have abundant neutrophils in their airways, which may contribute to the rapid viral clearance and mild disease ob-served in children [[11, 21](#page-9-0), [22\]](#page-9-0). In our study, we found that vaccine and infection-induced mucosal antibodies were likely generating immune complexes upon spike protein challenge, resulting in enhanced NET formation. Here, we also identified a central role for mucosal IgA in driving spike-mediated NETosis, suggesting the generation of SARS-CoV-2–specific mucosal IgA has the potential to provide enhanced protection against subsequent infections. While mucosal IgA immune complexes are the most potent inducer of NETs, IgG immune complexes were also able to induce NETs, albeit to a lesser degree, supporting that vaccination, through induction of mucosal IgG, provides some degree of mucosal protection, which may contribute to more rapid clearance of virus in vaccinated as compared to unvaccinated individuals [\[23](#page-9-0)]. These results are consistent with prior studies demonstrating stronger NET formation by IgA than by IgG [\[24](#page-9-0), [25\]](#page-9-0). Vaccination in previously infected individuals provided the most abundant SARS-CoV-2–specific IgG, emphasizing the potential importance of continued vaccination efforts in this population.

This study has several limitations. In general, it is difficult to rule out the possibility that patients without a definitive history of SARS-CoV-2 infection and negative anti-nucleoprotein antibody titers did not have a prior infection, as anti-nucleoprotein antibody titers have been reported to wane relatively quickly. In

our study the majority of participants whose parents reported a prior SARS-CoV-2 infection exhibited detectable levels of antinucleoprotein antibody and therefore we believe that it is unlikely that a significant number of prior infections were not accounted for in this study. In addition, this study only evaluated the levels of anti–SARS-CoV-2 antibodies without further investigating neutralization function, thus discrepancies between amount of antibody and antibody quality are possible. Furthermore, as our study used history and the presence of antinucleoprotein antibodies as measures of prior infection, we were unable to directly determining which SARS-CoV-2 variants were responsible for prior infections. Finally, while we did not detect significant induction of salivary or nasal anti-spike IgA levels in our cohorts, these studies were limited by a relatively small sample size. Indeed, a recent study using a larger adult cohort demonstrated a small increase in salivary IgA levels in vaccinated SARS-CoV-2–naive individuals, suggesting some ability of vaccination to induce mucosal IgA [\[26](#page-9-0)]. It is certainly possible that we may have detected a similar phenomenon had our sample cohorts had been larger. Despite these limitations we believe that the cohorts described here offer meaningful insights.

In conclusion, our study confirms the ability of COVID-19 mRNA vaccines to induce mucosal in addition to systemic IgG in previously uninfected young children. However, the limited generation of mucosal IgA responses following vaccination underscores a potential area for improvement in current vaccination strategies for this specific demographic. Further research is warranted to explore alternative vaccine formulations or strategies that may enhance mucosal immunity in young children, contributing to more comprehensive protection against SARS-CoV-2.

Supplementary Data

[Supplementary materials](http://academic.oup.com/jid/article-lookup/doi/10.1093/infdis/jiae450#supplementary-data) are available at *The Journal of Infectious Diseases* online ([http://jid.oxfordjournals.org/\)](http://jid.oxfordjournals.org/). [Supplementary](http://academic.oup.com/jid/article-lookup/doi/10.1093/infdis/jiae450#supplementary-data) [materials](http://academic.oup.com/jid/article-lookup/doi/10.1093/infdis/jiae450#supplementary-data) consist of data provided by the author that are published to benefit the reader. The posted materials are not copyedited. The contents of all [supplementary data](http://academic.oup.com/jid/article-lookup/doi/10.1093/infdis/jiae450#supplementary-data) are the sole responsibility of the authors. Questions or messages regarding errors should be addressed to the author.

Notes

Acknowledgment. We express extreme gratitude to all of the young children and families who participated in our study.

Author contributions. B. H. H. and L. M. Y. contributed study design. Y. T., B. P. B., Z. N. S., E. B., and S. C. performed data acquisition and analysis. W. I. G., M. D., M. A. F. L., A. F., M. D., R. L. W., J. G., J. S., and Y. T. performed patient consent and sample collection. Y. T. wrote the manuscript. Y. T. and B. P. B. generated figures. D. R. W., B. H. H., and L. M. Y. contributed supervision. All authors reviewed and approved the final version of the manuscript.

Financial support. This work was supported by the National Heart, Lung, and Blood Institute (grant numbers 5K08H L143183 and 1R01HL173059-01 to L. M. Y.); the Chan-Zuckerberg Initiative (to B. H. H., L. M. Y., and W. I. G.); the Chleck Foundation (to D. R. W.); and the Hostetter Foundation (to D. R. W.).

Potential conflicts of interest. D. R. W. has a financial interest in Quanterix Corporation, a company that develops an ultrasensitive digital immunoassay platform; is an inventor of the Simoa technology, a founder of the company, and also serves on its Board of Directors. D. R. W.'s interests were reviewed and are managed by Brigham and Women's Hospital and Partners Healthcare in accordance with their conflict of interest policies. All other authors report no potential conflicts.

All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

References

- [1](#page-0-2). Mettelman RC, Allen EK, Thomas PG. Mucosal immune responses to infection and vaccination in the respiratory tract. Immunity **2022**; 55:749–80.
- [2](#page-0-3). Hassan AO, Kafai NM, Dmitriev IP, et al. A single-dose intranasal ChAd vaccine protects upper and lower respiratory tracts against SARS-CoV-2. Cell **2020**; 183: 169–84.e13.
- [3](#page-0-4). Fröberg J, Gillard J, Philipsen R, et al. SARS-CoV-2 mucosal antibody development and persistence and their relation to viral load and COVID-19 symptoms. Nat Commun **2021**; 12:5621.
- [4](#page-0-5). Sano K, Bhavsar D, Singh G, et al. SARS-CoV-2 vaccination induces mucosal antibody responses in previously infected individuals. Nat Commun **2022**; 13:5135.
- [5](#page-0-5). Bhavsar D, Singh G, Sano K, et al. Mucosal antibody responses to SARS-CoV-2 booster vaccination and breakthrough infection. mBio **2023**; 14:e0228023.
- [6](#page-0-5). Nantel S, Sheikh-Mohamed S, Chao GYC, et al. Comparison of Omicron breakthrough infection versus monovalent SARS-CoV-2 intramuscular booster reveals differences in mucosal and systemic humoral immunity. Mucosal Immunol **2024**; 17:201–10.
- [7](#page-0-6). Roubidoux EK, Brigleb PH, Vegesana K, et al. Utility of nasal swabs for assessing mucosal immune responses towards SARS-CoV-2. Sci Rep **2023**; 13:17820.
- [8](#page-1-0). Nziza N, Deng Y, Wood L, et al. Humoral profiles of toddlers and young children following SARS-CoV-2 mRNA vaccination. Nat Commun **2024**; 15:905.
- [9](#page-1-1). Norman M, Gilboa T, Ogata AF, et al. Ultrasensitive highresolution profiling of early seroconversion in patients with COVID-19. Nat Biomed Eng **2020**; 4:1180–7.
- [10](#page-1-2). Boribong BP, LaSalle TJ, Bartsch YC, et al. Neutrophil profiles of pediatric COVID-19 and multisystem inflammatory syndrome in children. Cell Rep Med **2022**; 3: 100848.
- [11](#page-5-1). Loske J, Röhmel J, Lukassen S, et al. Pre-activated antiviral innate immunity in the upper airways controls early SARS-CoV-2 infection in children. Nat Biotechnol **2022**; 40: 319–24.
- [12](#page-6-1). Centers for Disease Control and Prevention. COVID data tracker: Nationwide Commercial Lab Pediatric Antibody Seroprevalence. [https://covid.cdc.gov/covid-data-tracker/](https://covid.cdc.gov/covid-data-tracker/#pediatric-seroprevalence) [#pediatric-seroprevalence](https://covid.cdc.gov/covid-data-tracker/#pediatric-seroprevalence). Accessed 14 March 2024.
- [13](#page-6-2). Spiekermann GM, Finn PW, Ward ES, et al. Receptormediated immunoglobulin G transport across mucosal barriers in adult life. J Exp Med **2002**; 196:303–10.
- [14](#page-6-3). Russell MW, Moldoveanu Z, Ogra PL, Mestecky J. Mucosal immunity in COVID-19: a neglected but critical aspect of SARS-CoV-2 infection. Front Immunol **2020**; 11: 611337.
- [15](#page-6-4). Bemark M, Angeletti D. Know your enemy or find your friend? —induction of IgA at mucosal surfaces. Immunol Rev **2021**; 303:83–102.
- [16](#page-6-5). Havervall S, Marking U, Svensson J, et al. Anti-spike mucosal IgA protection against SARS-CoV-2 Omicron infection. New Engl J Med **2022**; 387:1333–6.
- [17](#page-6-5). Verheul MK, Kaczorowska J, Hofstee MI, et al. Protective mucosal SARS-CoV-2 antibodies in the majority of the general population in the Netherlands. Mucosal Immunol **2024**; 17:554–64.
- [18](#page-7-1). Ellis S, Way R, Nel M, et al. Salivary IgA and vimentin differentiate in vitro SARS-CoV-2 infection: a study of 290

convalescent COVID-19 patients. Mucosal Immunol **2024**; 17:124–36.

- [19](#page-7-2). Mohanty T, Sjögren J, Kahn F, et al. A novel mechanism for NETosis provides antimicrobial defense at the oral mucosa. Blood **2015**; 126:2128–37.
- [20](#page-7-2). Hwang JW, Kim JH, Kim HJ, et al. Neutrophil extracellular traps in nasal secretions of patients with stable and exacerbated chronic rhinosinusitis and their contribution to induce chemokine secretion and strengthen the epithelial barrier. Clin Exp Allergy **2019**; 49:1306–20.
- [21](#page-7-3). Yonker LM, Boucau J, Regan J, et al. Virologic features of severe acute respiratory syndrome coronavirus 2 infection in children. J Infect Dis **2021**; 224:1821–9.
- [22](#page-7-3). Yonker LM, Neilan AM, Bartsch Y, et al. Pediatric severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2): clinical presentation, infectivity, and immune responses. J Pediatr **2020**; 227:45–52.e5.
- [23](#page-7-4). Pilapitiya D, Wheatley AK, Tan H-X. Mucosal vaccines for SARS-CoV-2: triumph of hope over experience. eBioMedicine **2023**; 92:104585.
- [24](#page-7-5). Gimpel A-K, Maccataio A, Unterweger H, Sokolova MV, Schett G, Steffen U. IgA complexes induce neutrophil extracellular trap formation more potently than IgG complexes. Front Immunol **2022**; 12:761816.
- [25](#page-7-5). Staats LAN, Pfeiffer H, Knopf J, et al. IgA2 antibodies against SARS-CoV-2 correlate with NET formation and fatal outcome in severely diseased COVID-19 patients. Cells **2020**; 9:2676.
- [26](#page-8-1). Gorochov G, Ropers J, Launay O, et al. Serum and salivary IgG and IgA response after COVID-19 messenger RNA vaccination. JAMA Netw Open **2024**; 7:e248051.